## Soliton propagation

## in homogeneous and inhomogeneous models for DNA torsion dynamics.

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## A rough sketch of DNA's structure



DNA is a double chain of nucleotides there are $\sim 30$ atoms / nucleotide a DNA chain has $10^{5}-10^{10}$ nodes.

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a Sugar-Phosphate group (backbone) and a Nitrogen Base.

The SP group is the same for all nodes, the sequence of NB is the genetic info.

There are four types of NB:
Adenine, Guanine, Cytosine, Thymine.
Covalent bonds link NB with SP,
H -bonds bet. facing NB link the two chains.

## What solitons have to do with DNA

It is an old conjecture ${ }^{1}$ that rotational kinks and breathers could play a functional role in DNA's transcription and denaturation phenomena.
${ }^{1}$ see A. Davydov, Solitons in Molecular Systems, Kluwer (1981),
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## What solitons have to do with DNA

It is an old conjecture ${ }^{1}$ that rotational kinks and breathers could play a functional role in DNA's transcription and denaturation phenomena.


These phenomena are quite complex - involving the interaction with enzimes, cell environment and so on - hence the focus has been set on formulating a reliable model describing in simple terms the dynamics of DNA as a mechanical system.
${ }^{1}$ see A. Davydov, Solitons in Molecular Systems, Kluwer (1981),
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## Our Main Goal

Our main goal is to understand whether the essentially inhomogeneous DNA molecule, seen as a mechanical system, supports the existence of narrow (of the order of 10bp) twist solitons (kinks)
able to move for long enough distances (of the order of 100bp)
at the natural speeds for the transcription and duplication phenomena (in the range $50-10^{3} \mathrm{bp} / \mathrm{s}$ ) under an external force and dissipation.

## Starting point: the Salerno and Yakushevich models

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$$
\begin{aligned}
& \Delta \varphi_{n, 1}=\varphi_{n, 1}-\varphi_{n-1,1} \\
& \Delta \varphi_{n, 2}=\varphi_{n, 2}-\varphi_{n-1,2}
\end{aligned}
$$

$$
H_{S}=\sum_{n} \underbrace{\frac{I_{n, 1}}{2} \dot{\varphi}_{n, 1}^{2}+\frac{I_{n, 2}}{2} \dot{\varphi}_{n, 2}^{2}}_{\text {Kinetic Energy }}+\underbrace{K_{n, 1}^{t s}\left(\Delta \varphi_{n, 1}\right)^{2}+K_{n, 2}^{t s}\left(\Delta \varphi_{n, 2}\right)^{2}}_{\text {Torsion }+ \text { Stacking }}+\underbrace{K_{n}^{p} \bar{r}^{2}\left[1-\cos \left(\varphi_{n, 1}-\varphi_{n, 2}\right)\right]}_{\text {Pairing (physical pendulum) }}
$$

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& H_{Y}=\sum_{n} \underbrace{\frac{I_{n, 1}}{2} \dot{\varphi}_{n, 1}^{2}+\frac{I_{n, 2}}{2} \dot{\varphi}_{n, 2}^{2}}_{\text {Kinetic Energy }}+\underbrace{K_{n, 1}^{t s}\left(1-\cos \Delta \varphi_{n, 1}\right)+K_{n, 2}^{t s}\left(1-\cos \Delta \varphi_{n, 2}\right)}_{\text {Torsion }+ \text { Stacking }}+ \\
& +\underbrace{K_{n}^{p}\left[r_{n, 1}\left(r_{n, 1}+r_{n, 2}\right)\left(1-\cos \varphi_{n, 1}\right)+r_{n, 2}\left(r_{n, 1}+r_{n, 2}\right)\left(1-\cos \varphi_{n, 2}\right)-r_{n, 1} r_{n, 2}\left(1-\cos \left(\varphi_{n, 1}-\varphi_{n, 2}\right)\right)\right]}_{\text {Kth, }}
\end{aligned}
$$

Pairing (harmonic potential in the distance between facing bases)


We consider a double chain with $N$ nodes and look for solutions $\varphi_{n, i}(t)$ with boundary conditions

$$
\varphi_{1,1}(t)=0, \varphi_{1,2}(t)=0
$$

$$
\varphi_{N, 1}(t)=2 \pi p, \varphi_{N, 2}(t)=2 \pi q
$$

$$
\text { with } p, q \in \mathbb{Z}
$$

We call $(p, q)$ the topological type of the solution.

REMARK: in the continuous homogeneous limit the $(1,1)$ solutions of $H_{Y}$ are symmetric and each one is exactly a sine-Gordon kink, i.e. a kink solution of $\mu \partial_{t t} \varphi(x, t)=K \partial_{x x} \varphi(x, t)-\kappa \sin \varphi(x, t)$

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## Motion of kinks in the inhomogeneous Salerno model

$$
H=\sum_{i} \frac{I_{i}^{\psi}}{2}\left(\dot{\psi}_{i}\right)^{2}+\frac{I_{i}^{\theta}}{2}\left(\dot{\theta}_{i}\right)^{2}+\frac{k_{i}^{\psi}}{2}\left(\psi_{i}-\psi_{i-1}\right)^{2}+\frac{k_{i}^{\theta}}{2}\left(\theta_{i}-\theta_{i-1}\right)^{2}+\eta_{i}\left[1-\cos \left(\psi_{i}-\theta_{i}\right)\right]
$$

E. Lennholm, M. Hörnquist/Physica D 177 (2003) 233-241


Fig. 3. Fraction of kinks that moves at least the number of base-pairs given by the $x$-axis. The solid line corresponds to the real genome of the T7-phage, while the dashed line corresponds to a random sequence.

## Motion of kinks in the inhomogeneous Yakushevich model

$$
\begin{equation*}
H=\sum_{n}\left\{\frac{1}{2} I_{n, 1} \dot{\varphi}_{n, 1}^{2}+\frac{1}{2} I_{n, 2} \dot{\varphi}_{n, 2}^{2}+\epsilon_{n, 1} \sin ^{2} \frac{\varphi_{n+1,1}-\varphi_{n, 1}}{2}+\epsilon_{n, 2} \sin ^{2} \frac{\varphi_{n+1,2}-\varphi_{n, 2}}{2}+V_{\alpha \beta}\left(\varphi_{n, 1}, \varphi_{n, 2}\right)\right\} \tag{12}
\end{equation*}
$$





FIG. 11: Movement of soliton with $\mathbf{q}=(1,0), s=0.5$ through the boundary between homogeneous AT and GC regions.


FIG. 12: Entering two component soliton (charge $\mathbf{q}=(1,1) \iota$, velocity $s=0.5$ ) the random inhomogeneous region of the chain, and further disintegration of the soliton.

## Our composite model for DNA



|  | A | T | G | C | mean | Sugar |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: |
| $m$ | 134 | 125 | 150 | 110 | 130 | 85 |
| $I$ | $3.6 \times 10^{3}$ | $3.0 \times 10^{3}$ | $4.4 \times 10^{3}$ | $2.3 \times 10^{3}$ | $3.3 \times 10^{3}$ | $2.9 \times 10^{3}$ |
| $l$ | 3.9 | 2.9 | 4.1 | 2.7 | 3.4 | 3.1 |
| $d_{b s}$ | 1.0 | 1.0 | 1.0 | 1.0 | 1.0 | - |
| $d_{e q}$ | 3.0 | 3.0 | 3.0 | 3.0 | 3.0 | - |

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$$
H=T+V_{t}+V_{s}+V_{p}+V_{h}+V_{w}
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$$
\begin{aligned}
H & =T+V_{t}+V_{s}+V_{p}+V_{h}+V_{w} \\
T & =\frac{1}{2} \sum_{n=1}^{N} \sum_{i=1}^{2}\left[l_{t} \dot{\theta}_{n, i}^{2}+m_{n, i} \dot{C}_{n, i}^{2}\right]
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V_{t} & =\sum_{n=1}^{N} \sum_{i=1}^{2} K_{t}\left[1-\cos \left(\Delta \theta_{n, i}\right)\right]
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V_{t} & =\sum_{n=1}^{N} \sum_{i=1}^{2} K_{t}\left[1-\cos \left(\Delta \theta_{n, i}\right)\right] \\
V_{s} & =\frac{1}{2} \sum_{n=1}^{N} \sum_{i=1}^{2} K_{s} \frac{d_{x y}^{2}\left(C_{n+1, i} ; C_{n, i}\right)}{\left(d_{b s}+\bar{r}\right)^{2}}
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& V_{p}= \sum_{n=1}^{N} D_{n}\left[1-e^{-\mu\left(d\left(C_{n+1, i} ; C_{n, i}\right)-d_{e q}\right)}\right]^{2} \\
& \quad(\text { Morse potential })
\end{aligned}
$$

## Our composite model for DNA



|  | $K_{t}$ | $K_{s}$ | $K_{p}$ | $D_{A T}$ | $D_{G C}$ | $a$ | $K_{h}$ |
| :--- | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| lo bd | $130 \mathrm{~kJ} / \mathrm{mol}$ | - | $3.5 \mathrm{~N} / \mathrm{m}$ | 30 meV | 45 meV | $2 \AA^{-1}$ | $K_{t} / 100$ |
| up bd | $720 \mathrm{~kJ} / \mathrm{mol}$ | $16.6 \mathrm{~N} / \mathrm{m}$ | $30 \mathrm{~N} / \mathrm{m}$ | 50 meV | 75 meV | $4 \AA^{-1}$ | $K_{t} / 25$ |
|  | $g_{t}$ | $g_{s}$ | $g_{p}$ | $d_{A T}$ | $d_{G C}$ | $\mu$ | $g_{h}$ |
| lo bd | 0.58 | - | 0.91 | 0.013 | 0.02 | 8.8 | $g_{t} / 100$ |
| up bd | 3.2 | 1.6 | 7.8 | 0.02 | 0.03 | 17.6 | $g_{t} / 25$ |


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| $d_{c q}$ | 3.0 | 3.0 | 3.0 | 3.0 | 3.0 | - |

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\begin{aligned}
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V_{t} & =\sum_{n=1}^{N} \sum_{i=1}^{2} K_{t}\left[1-\cos \left(\Delta \theta_{n, i}\right)\right] \\
V_{s} & =\frac{1}{2} \sum_{n=1}^{N} \sum_{i=1}^{2} K_{s} \frac{d_{x y}^{2}\left(C_{n+1, i} ; C_{n, i}\right)}{\left(d_{b s}+\bar{r}\right)^{2}} \\
V_{p} & =\sum_{n=1}^{N} D_{n}\left[1-e^{-\mu\left(d\left(C_{n+1, i} ; C_{n, i}\right)-d_{e q}\right)}\right]^{2}
\end{aligned}
$$

(Morse potential)

## Numerical matters

The initial profiles of the kinks were determined by looking for extremals of the action after the "constant speed" discrete ansatz $\dot{q}_{n}=v\left(q_{n}-q_{n+1}\right) / \delta$, where $q_{n}=\left(\theta_{n, 1}, \theta_{n, 2}, \phi_{n, 1}, \phi_{n, 2}\right)$.

## For the evolution, since all systems we deal with are conservative, <br> we decided to use geometric integrators <br> in order to minimize the error sources.

> We started by using a Lagrangian approach to study kinks evolution in the Yakushevich model, mainly because several geometric integrators for Lagrangian systems were available on the net, in particular those developed by Ernst Hairer (GNI_IRK2)

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When we switched to our composite model we found out that no geometric integrators were available instead for Lagrangians whose kinetic energy depends on the spatial coordinates (e.g. double pendulum). We contacted Hairer and were suggested to switch to the Hamiltonian approach, for which he kindly provided to us several geometric integrators (GRKAAD).

> Finally, we repeated some of the numerical results using non-symplectic ODEs algorithms from the GSL and found an excellent agreement.


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Finally, we repeated some of the numerical results using non-symplectic ODEs algorithms from the GSL and found an excellent agreement.

REMARK: there is a time scale inerent in our numerical analysis.
Consider the simplest case of the Yakushevich model (SG):

$$
\mu \partial_{t t} \varphi(x, t)=K \partial_{x x} \varphi(x, t)-\kappa \sin \varphi(x, t)
$$

We have $\mu \simeq 1.3 \cdot 10^{-25} k K s^{2} / \mathrm{mol}$ while $K \simeq \kappa \simeq 1 \mathrm{~kJ} / \mathrm{mol}$. In order to have constants of the same order of magnitude we must change time unit to $T U=3.4 \cdot 10^{-13} s$ !.

Under the harmonic approximation, kinks in the composite model do not move even in homogeneous chains.


## Motion of kinks in the composite homogeneous model

$$
H=v^{2} \sum_{n=1}^{N} \sum_{i=1}^{2}\left[\frac{I}{2 \delta^{2}}\left[\Delta \theta_{n, i}\right]^{2}+m\left[\Delta C_{n, i}\right]^{2}\right]+\sum_{n=1}^{N} \sum_{i=1}^{2} g_{t}\left[1-\cos \left(\Delta \theta_{n, i}\right)\right]+\frac{1}{2} \sum_{n=1}^{N} \sum_{i=1}^{2} g_{s} \frac{d_{x y}^{2}\left(C_{n+1, i} ; C_{n, i}\right)}{\left(d_{b s}+\bar{r}\right)^{2}}+\sum_{n=1}^{N} d\left[1-e^{-\mu\left(d\left(C_{n, 1} ; C_{n, 2}\right)-d_{e q}\right)}\right]^{2}+V_{h}+V_{w}
$$

## Motion of kinks in the composite homogeneous model

$$
\bar{i}_{t}=1.1, \bar{i}_{s}=1.3, g_{t}=1, g_{s}=0.3, g_{h}=0.01, g_{p}=0.02, d=0.02, \mu=6.3
$$






## Motion of kinks in the composite homogeneous model

$$
\begin{gathered}
H=v^{2} \sum_{n=1}^{N} \sum_{i=1}^{2}\left[\frac{l}{2 \delta^{2}}\left[\Delta \theta_{n, i}\right]^{2}+m\left[\Delta C_{n, i}\right]^{2}\right]+\sum_{n=1}^{N} \sum_{i=1}^{2} g_{t}\left[1-\cos \left(\Delta \theta_{n, i}\right)\right]+\frac{1}{2} \sum_{n=1}^{N} \sum_{i=1}^{2} g_{s} \frac{d_{x y}^{2}\left(C_{n+1, j} C_{n, i}\right)}{\left(d_{b s}+\bar{r}\right)^{2}}+\sum_{n=1}^{N} d\left[1-e^{-\mu\left(d\left(C_{n, 1} ; C_{n, 2}\right)-d_{e q}\right)}\right]^{2}+v_{h}+v_{w} \\
\bar{i}_{t}=1.1, \bar{i}_{s}=1.3, g_{t}=1, g_{s}=0.3, g_{h}=0.01, g_{p}=0.02, d=0.02, \mu=6.3
\end{gathered}
$$


(c)

(d)
$v=0.4$

(g)

(h)
$v=0.62 \simeq v_{\text {lim }}$

## Motion of kinks in the composite inhomogeneous model

$$
H=v^{2} \sum_{n=1}^{N} \sum_{i=1}^{2}\left[\frac{i_{n, i}}{2 \delta^{2}}\left[\Delta \theta_{n, i}\right]^{2}+m_{n, i}\left[\Delta C_{n, i}\right]^{2}\right]+\sum_{n=1}^{N} \sum_{i=1}^{2} g_{t}\left[1-\cos \left(\Delta \theta_{n, i}\right)\right]+\frac{1}{2} \sum_{n=1}^{N} \sum_{i=1}^{2} g_{s} \frac{d_{x y}^{2}\left(C_{n+1, i} C_{n, i}\right)}{\left(d_{b s}+\bar{r}\right)^{2}}+\sum_{n=1}^{N} d_{n}\left[1-e^{-\mu\left(d\left(C_{n, 1} ; C_{n, 2}\right)-d_{e q}\right)}\right]^{2}+V_{h}+V_{w}
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## Motion of kinks in the composite inhomogeneous model

$$
H=v^{2} \sum_{n=1}^{N} \sum_{i=1}^{2}\left[\frac{i_{n, i}}{2 \delta^{2}}\left[\Delta \theta_{n, i}\right]^{2}+m_{n, i}\left[\Delta C_{n, i}\right]^{2}\right]+\sum_{n=1}^{N} \sum_{i=1}^{2} g_{t}\left[1-\cos \left(\Delta \theta_{n, i}\right)\right]+\frac{1}{2} \sum_{n=1}^{N} \sum_{i=1}^{2} g_{s} \frac{d_{x y}^{2}\left(C_{n+1, i} ; C_{n, i}\right)}{\left(d_{b s}+\bar{r}\right)^{2}}+\sum_{n=1}^{N} d_{n}\left[1-e^{-\mu\left(d\left(C_{n, 1} ; C_{n, 2}\right)-d_{e q}\right)}\right]^{2}+V_{h}+V_{w}
$$

$$
\bar{i}_{t}=1.1, \bar{i}_{s}=1.3, g_{t}=1, g_{s}=0.3, g_{h}=0.01, g_{p}=0.02, d=0.02, \mu=6.3
$$



$v=0.4$



$$
v=0.45 \simeq v_{l i m}
$$

## Motion of kinks in the composite inhomogeneous model

$$
\begin{gathered}
H=v^{2} \sum_{n=1}^{N} \sum_{i=1}^{2}\left[\frac{i_{n, i}}{2 \delta^{2}}\left[\Delta \theta_{n, i}\right]^{2}+m_{n, i}\left[\Delta C_{n, i}\right]^{2}\right]+\sum_{n=1}^{N} \sum_{i=1}^{2} g_{t}\left[1-\cos \left(\Delta \theta_{n, i}\right)\right]+\frac{1}{2} \sum_{n=1}^{N} \sum_{i=1}^{2} g_{s} \frac{d_{x y}^{2}\left(C_{n+1, i} ; C_{n, i}\right)}{\left(d_{b s}+\bar{r}\right)^{2}}+\sum_{n=1}^{N} d_{n}\left[1-e^{-\mu\left(d\left(C_{n, 1} ; C_{n, 2}\right)-d_{e q}\right)}\right]^{2}+v_{h}+v_{w} \\
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\end{gathered}
$$


(c)


$$
v=0.4^{(\mathrm{d})}
$$


(g)

(h)

$$
v=0.45 \simeq v_{\text {lim }}
$$

## Motion of kinks in the inhomogeneous YakMorse model

$$
H_{Y}=\sum_{n} \frac{I_{n, 1}}{2} \dot{\varphi}_{n, 1}^{2}+\frac{I_{n, 2}}{2} \dot{\varphi}_{n, 2}^{2}+g\left(2-\cos \Delta \varphi_{n, 1}-\cos \Delta \varphi_{n, 2}\right)+K\left[1-e^{-\mu\left(d\left(C_{n, 1} ; C_{n, 2}\right)-d_{e q}\right)}\right]^{2}
$$

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$$
\bar{I}=7, g=7.5, K=0.02, \mu=11
$$


(e)

(g)

(f)

(h)

## Traveled Distance vs Initial Position in the Chain

How much the traveled distance varies by the starting point at $v=0.4$ ?


Yak Morse Model, HA2 chain



Composite Model, HA2 chain


## Traveled Distance vs Initial Position in the Chain

How much the traveled distance varies by the starting point at $v=0.4$ ?



Composite Model, random chain
Composite Model, HA2 chain


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Twist solitons in inhomogeneous DNA

## Dissipation and external forces

We introduce in the Yakushevich equations for the $\theta_{n, i}$ a new term $\nu \dot{\theta}_{n, i}+F_{0}$.

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$$
v=0,2, \bar{l}=7, g=21, K=1
$$


(a)

(c)

(b)

(d)

## Dissipation and external forces

Now we do the same after replacing the harmonic pairing potential with a Morse one.

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$$
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## Very slow kinks

Finally we try to create very slow kinks in the Yakushevich (1st row) and YakMorse (2nd row) models

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Cadoni, De Leo, Demelio, Gaeta Twist solitons in inhomogeneous DNA

## A remark about stability

Gianne Derks (U. of Surrey) pointed out to us that $(1,1)$ solitons in the Yakushevich model are instable. We verified numerically that this instability disappears when a Morse pairing potential is used:

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Figure 6. Motion of ( 1,1 ) kinks in the Y (left) and YM (right) models with $v=0.4 \mathrm{~km} / \mathrm{s}$, $I=7, K_{t}=21, K_{p}=1, D=0.02, \nu=11$. In each picture we show three kinks starting at $n=500: 1$. the symmetric continuous one (thin line); 2 . the one with symmetric initial condition (lighter points); 3. the one with asymmetric initial conditions (darker points) their initial profiles have been desymmetrized by modifying the position of two points on the chain 1 by $10^{-1}$. In the Y model the "asymmetric" kink in the chain 1 moves backwards with speed opposite to the one on the chain 2 . Moreover they are both faster than the corresponding symmetric ones, which instead behave in the expected way (including the fact that after just 500 TU it is already slightly behind the corresponding continuous one). In the YM model instead the "asymmetric" kink is virtually indistinguishable by the symmetric one and both are still keeping the pace of the corresponding continuous one.

## Strong points of the model

(1) The Salerno and Yakushevich models are so basic that, to get equations compatible with the observed dispersion relations, one must use unphysical coupling constants. Splitting the degrees of freedom of backbone and bases angles proved to be enough to allow using physical values.


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(1) The Salerno and Yakushevich models are so basic that, to get equations compatible with the observed dispersion relations, one must use unphysical coupling constants. Splitting the degrees of freedom of backbone and bases angles proved to be enough to allow using physical values.
(2) In the composite model, in the Harmonic approximation for pairing, kinks do not move even in homogeneous chains, leading to the introduction of the Morse potential. A posteriori, Morse potential also allows motion of kinks in the Yakushevich inhom. model. Hence improving the geometry of the model also requires a more physical pairing potential.
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(3) In the Harmonic approx. the $(1,1)$ solitons are unstable, with a Morse potential they are stable.

## Future Directions

(1) Investigate numerically about dissipation, external forces and low speed solitons for the composite model.
(2) Analyze numerically what happens for several other real DNA sequences to prove that the "global" dynamics really does not depend on the particular sequence and look for the activation regions discussed by Salerno in his seminal paper.
(3) Investigate numerically about the interaction DNA/RNAP studied analytically in a recent paper by G. Derks and G. Gaeta in the context of the Yakushevich model.
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