

Partially Isometric Immersions and Free Maps

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A Fundamental Question

A natural question in mathematics is whether an arbitrary “object” of a class defined abstractly can be seen as a “sub-object” of some “canonical” object of the class. For example:

Theorem (Whitney, 1944)

Every m -dimensional C^∞ manifold M admits an embedding into \mathbb{R}^{2m} and an immersion into \mathbb{R}^{2m-1} .

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Theorem (Nash, 1956; Gromov, 1986)

Every m -dimensional C^∞ Riemannian manifold M admits an embedding into \mathbb{R}^{m^2+5m+3} .

Distributions

Our ultimate goal is to answer the analogue question for Riemannian distributions.

In more detail:

Definition

Let M be a C^∞ manifold. A k -distribution \mathcal{H} on M is a vector subbundle $\mathcal{H} \subset TM$ such that $\dim \mathcal{H}_m = k, \forall m \in M$.

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Example

A vector field ζ on M with no singular point determines a 1-distribution $\mathcal{H} = \text{span } \zeta$.

A 1-form ω on M with no singular point determines a “codimension-1”-distribution $\mathcal{H} = \ker \omega$.

Riemannian distributions

Definition

A *Riemannian k -distribution* (\mathcal{H}, g) on M is a pair of a k -distribution $\mathcal{H} \subset TM$ and a positive-definite section g of the symmetric tensor product of \mathcal{H}^* by itself.

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Example

- The restriction to \mathcal{H} of any Riemannian metric on M makes \mathcal{H} Riemannian.
- If the vector field ξ on M has no singular point, every strictly positive function $\psi : M \rightarrow \mathbb{R}$ induces a metric on any 1-dimensional distribution $\mathcal{H} = \text{span } \xi$ by setting $g(\xi_x, \xi_x) = \psi(x)$.

\mathcal{H} -immersions

Definition

Let g be a metric on \mathcal{H} , i.e. a positive-definite symmetric section of $\mathcal{H}^* \otimes \mathcal{H}^*$. We say that $f \in C^\infty(M, \mathbb{R}^q)$ is a \mathcal{H} -immersion of M into \mathbb{R}^q if $f^*e_q|_{\mathcal{H}} = g$.

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Example

Let ζ a vector field without zeros on M , $\mathcal{H} = \text{span}\{\zeta\}$ the corresponding 1-distribution on M , f a map $M \rightarrow \mathbb{R}$ and θ a base of \mathcal{H}^* dual to ζ (so that $\theta(\zeta) = 1$). Then

$$f^*e_q|_{\mathcal{H}} = (L_\zeta f)^2 \theta \otimes \theta.$$

Hence f is an \mathcal{H} -immersion iff either $L_\zeta f > 0$ or $L_\zeta f < 0$.

\mathcal{H} -immersions

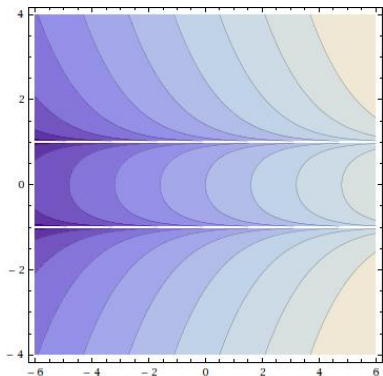
Example

Consider the distribution \mathcal{H}_{ζ} associated to the planar vector field $\zeta(x, y) = 2y\partial_x + (1 - y^2)\partial_y$.

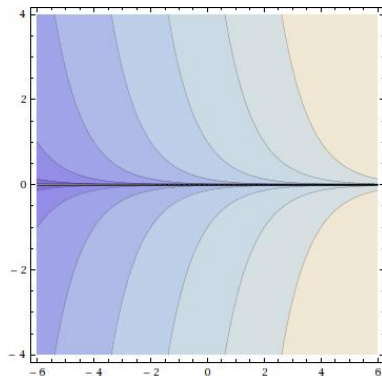
\mathcal{H}_{ζ} -immersions may arise for $q \geq 1$ and $\mathcal{D}_{\mathcal{H}_{\zeta}, 1}(f) = (L_{\zeta}f)^2\theta \otimes \theta$, so a map $f : \mathbb{R}^2 \rightarrow \mathbb{R}$ is a \mathcal{H}_{ζ} -immersion if $L_{\zeta}f \neq 0$ on \mathbb{R}^2 .

Topologically, this is equivalent to the fact that the integral trajectories of ζ are everywhere transversal to f 's level sets.

E.g. $f(x, y) = ye^x$ is a \mathcal{H}_{ζ} -immersion of \mathbb{R}^2 into \mathbb{R} since $L_{\zeta}f(x, y) = (1 + y^2)e^x > 0$.

\mathcal{H} -immersions

Integral Trajectories
of $\zeta(x, y) = 2y\partial_x + (1 - y^2)\partial_y$



Level sets
of $f(x, y) = ye^x$

Ultimate goal and Intermediate results

Question

Can every Riemannian k -distribution on M be induced via some \mathcal{H} -immersion $f : M \rightarrow \mathbb{R}^q$?

We believe the answer is positive.

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So far we rather focused on two simpler tasks:

- 1 Solve a weaker version of our ultimate goal: if some metric g on \mathcal{H} is induced via some $f : M \rightarrow \mathbb{R}^q$ and we slightly deform g into g' , can we deform f into f' so that f' induces g' on \mathcal{H} ?

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- 2 Find concrete cases of “geometrically interesting” distributions where this happens for the lowest q possible (i.e. in “critical dimension”).

The Isometric Operator

$Imm(M, \mathbb{R}^q)$ immersions of the smooth manifold M into \mathbb{R}^q

e_q Euclidean metric on \mathbb{R}^q

$S_2^0(M)$ symmetric tensor product of T^*M by itself

$\Gamma^\infty(S_2^0(M))$ smooth sections of $S_2^0(M) \rightarrow M$

$\mathcal{G}(M) \subset \Gamma^\infty(S_2^0(M))$ set of Riemannian metrics over M

Definition

We call *isometric operator* the map

$$\begin{array}{ccc} \mathcal{D}_{M,q} : & Imm(M, \mathbb{R}^q) & \longrightarrow \mathcal{G}(M) \\ & f & \longmapsto f^* e_q \end{array}$$

The Isometric Operator

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- $\mathcal{D}_{M,q}$ is central in the isometric immersions theory:
e.g. every m -dimensional Riemannian manifold can be isometrically immersed into \mathbb{R}^q iff all operators $\mathcal{D}_{M,q}$, $\dim M = m$, are surjective.

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- $\mathcal{D}_{M,q}$ is central in the isometric immersions theory: e.g. every m -dimensional Riemannian manifold can be isometrically immersed into \mathbb{R}^q iff all operators $\mathcal{D}_{M,q}$, $\dim M = m$, are surjective.
- **The property we focus on here is the following weaker version: is $\mathcal{D}_{M,q}$, restricted to some open subset of $Imm(M, \mathbb{R}^q)$, an open map? In other words, if**

$$\mathcal{D}_{M,q}(f_0) = g_0$$

then is $\mathcal{D}_{M,q}(f) = g$ is solvable in some nbhd of g_0 ?

Linearization of the Isometric Operator

Use indices $\alpha, \beta = 1, \dots, m$ and $i, j = 1, \dots, q$.

In coords (x^α) on M and (y^i) on \mathbb{R}^q

f writes as $(f^i(x^\alpha))$ and the equation $\mathcal{D}(f) = g$ writes as

$$\delta_{ij} \partial_\alpha f^i \partial_\beta f^j = g_{\alpha\beta}$$

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Take a smooth curve g_λ and look for a solution f_λ . Then

$$2\delta_{ij} \partial_\alpha f^i \partial_\beta \delta f^j = \delta g_{\alpha\beta}$$

where $\delta f^i = \left. \frac{df_\lambda^i}{d\lambda} \right|_{\lambda=0}$ and $\delta g_{\alpha\beta} = \left. \frac{d(g_\lambda)_{\alpha\beta}}{d\lambda} \right|_{\lambda=0}$.

Linearization of the Isometric Operator

Definition

The operator $\ell_{M,q}(f, \delta f) = 2\delta_{ij}\partial_\alpha f^i\partial_\beta \delta f^j$ is called the *linearization* of $\mathcal{D}_{M,q}$. We say that $\mathcal{D}_{M,q}$ is *infinitesimally invertible* over some open set $\mathcal{A} \subset Imm(M, \mathbb{R}^q)$ if the equation

$$\ell_{M,q}(f, \delta f) = \delta g$$

is solvable over \mathcal{A} .

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Theorem (Newton-Nash-Moser-Gromov IFT)

If $\mathcal{D}_{M,q}$ is infinitesimally invertible over \mathcal{A} , then

$$\mathcal{D}_{M,q}|_{\mathcal{A}} : \mathcal{A} \rightarrow \mathcal{G}(M)$$

is an open map.

Nash's trick

Using Nash's trick the linearized equation

$$2\delta_{ij}\partial_\alpha f^i\partial_\beta\delta f^j = \delta g_{\alpha\beta}$$

becomes a fully algebraic system
of $q_m := m + m(m + 1)/2$ eqs. in the q unknowns δf^i :

$$\begin{cases} \delta_{ij}\partial_\alpha f^i\delta f^j = h_\alpha \\ \delta_{ij}\partial_{\alpha\beta} f^i\delta f^j = \partial_\alpha h_\beta + \partial_\beta h_\alpha - \delta g_{\alpha\beta}/2 \end{cases}$$

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Clearly a sufficient condition for the solvability of this system
is that the $q_m \times q$ matrix $D_2 f = \begin{pmatrix} \partial_\alpha f^i \\ \partial_{\alpha\beta} f^i \end{pmatrix}$ have rank q_m .

Free Maps

Definition

A map $f \in C^\infty(M, \mathbb{R}^q)$ s.t. $\text{rk } D_2f = q_m$ at every point of M is called a *free map*. We denote by $\text{Free}(M, \mathbb{R}^q)$ the set of all smooth free maps $M \rightarrow \mathbb{R}^q$.

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As a corollary of the Newton-Nash-Moser-Gromov IFT
we get immediately that

Theorem (Nash, 1956)

The restriction of $\mathcal{D}_{M,q}$ to $\text{Free}(M, \mathbb{R}^q) \subset \text{Imm}(M, \mathbb{R}^q)$ is an open map.

Free Maps

- Clearly $Free(M, \mathbb{R}^q) = \emptyset$ if $q < q_m$
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- For $q_m \leq q < m + q_m$ the existence of free maps is a more complicated matter. E.g. if M is parallelizable we know that $Free(M, \mathbb{R}^q) \neq \emptyset$ for $q \geq q_m$ if M is open and for $q > q_m$ if M is closed (Gromov, Eliashberg).

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Example

The map $F(x^1, \dots, x^m) = (x^1, \dots, x^m, (x^1)^2, x^1x^2, \dots, (x^m)^2)$ belongs to $Free(\mathbb{R}^m, \mathbb{R}^{q_m})$.

Partial Isometries

Definition

We call *partially isometric operator* the map

$$\begin{aligned} \mathcal{D}_{\mathcal{H},q} : \quad \text{Imm}_{\mathcal{H}}(M, \mathbb{R}^q) &\longrightarrow \mathcal{G}(\mathcal{H}) \\ f &\longmapsto f^* e_q|_{\mathcal{H}} \end{aligned}$$

Locally every k -distribution \mathcal{H} on M is the span of k vector fields ζ_a and

$$\mathcal{D}_{\mathcal{H},q}(f) = \delta_{ij} L_{\zeta_a} f^i L_{\zeta_b} f^j \theta^a \otimes \theta^b$$

where $L_{\zeta_a} f^i$ is the Lie derivative of f^i with respect to ζ_a and the 1-forms θ^a , $a = 1, \dots, k$, are dual of the ζ_a in \mathcal{H}^* .

Linearization of $\mathcal{D}_{\mathcal{H},q}$

In a trivialization of \mathcal{H} the equation $\mathcal{D}_{\mathcal{H},q}(f) = g$ writes as

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Linearization of $\mathcal{D}_{\mathcal{H},q}$

By the Newton-Nash-Moser-Gromov IFT we know that a sufficient condition for $\mathcal{D}_{\mathcal{H},q}$ to be an open map over some open set $\mathcal{A} \subset C^\infty(M, \mathbb{R}^q)$ is that the linearized equation

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be solvable for all $f \in \mathcal{A}$.

Using the Nash trick we get the following equivalent fully algebraic system of $q_k = k + k(k + 1)/2$ eqs in q unknowns δf^i :

$$\begin{cases} \delta_{ij}L_{\zeta_a} f^i \delta f^j = h_a \\ \delta_{ij}(L_{\zeta_a} L_{\zeta_b} + L_{\zeta_b} L_{\zeta_a}) f^i \delta f^j = L_{\zeta_a} h_b + L_{\zeta_b} h_a - \delta g_{ab}/2 \end{cases}$$

\mathcal{H} -free maps

Clearly a sufficient condition for the resolution of such system is that the $q_k \times q$ matrix

$$D_{\bar{\zeta}_1, \dots, \bar{\zeta}_k}(f) = \begin{pmatrix} L_{\bar{\zeta}_1} f^1 & \cdots & L_{\bar{\zeta}_1} f^q \\ \vdots & \vdots & \vdots \\ L_{\bar{\zeta}_k} f^1 & \cdots & L_{\bar{\zeta}_k} f^q \\ L_{\bar{\zeta}_1}^2 f^1 & \cdots & L_{\bar{\zeta}_1}^2 f^q \\ L_{\bar{\zeta}_1} L_{\bar{\zeta}_2} f^1 + L_{\bar{\zeta}_2} L_{\bar{\zeta}_1} f^1 & \cdots & L_{\bar{\zeta}_1} L_{\bar{\zeta}_2} f^q + L_{\bar{\zeta}_2} L_{\bar{\zeta}_1} f^q \\ \vdots & \vdots & \vdots \\ L_{\bar{\zeta}_k}^2 f^1 & \cdots & L_{\bar{\zeta}_k}^2 f^q \end{pmatrix}$$

have rank q_k at every point.

\mathcal{H} -free maps

Definition (Gromov)

A \mathcal{H} -immersion f of M into \mathbb{R}^q s.t. $\text{rk } D_{\zeta_1, \dots, \zeta_k} f = q_k$ for every trivialization of \mathcal{H} at every point of M is called a \mathcal{H} -free map. We denote by $\text{Free}_{\mathcal{H}}(M, \mathbb{R}^q)$ the set of all smooth \mathcal{H} -free maps $M \rightarrow \mathbb{R}^q$.

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As a corollary of the Newton-Nash-Moser-Gromov IFT we get immediately that

Theorem 1

The restriction of $\mathcal{D}_{\mathcal{H}, q}$ to $\text{Free}_{\mathcal{H}}(M, \mathbb{R}^q) \subset \text{Imm}_{\mathcal{H}}(M, \mathbb{R}^q)$ is an open map.

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- A standard transversality argument shows that being free is a generic property for $q \geq m + q_k$.
- We did not investigate yet what happens in the intermediate range $q_k \leq q < m + q_k$.

\mathcal{H} -free maps of 1-distributions in \mathbb{R}^2 in critical dim.

Example

Consider again the case of a 1-distribution $\mathcal{H}_{\tilde{\zeta}} = \text{span } \tilde{\zeta}$.

$\mathcal{H}_{\tilde{\zeta}}$ -free maps can arise only for $q \geq 1 + q_1 = 2$.

A map $f = (f^1, f^2) : \mathbb{R}^2 \rightarrow \mathbb{R}^2$ is $\mathcal{H}_{\tilde{\zeta}}$ -free iff

$$D_{\tilde{\zeta}} f = \begin{pmatrix} L_{\tilde{\zeta}} f^1 & L_{\tilde{\zeta}} f^2 \\ L_{\tilde{\zeta}}^2 f^1 & L_{\tilde{\zeta}}^2 f^2 \end{pmatrix}$$

has non-zero determinant at every point.

\mathcal{H} -free maps

Theorem 2

Let \mathcal{H} be a k -distribution on M . If $f \in \text{Imm}_{\mathcal{H}}(M, \mathbb{R}^k)$ and $F \in \text{Free}(\mathbb{R}^k, \mathbb{R}^{q_k})$ then $F \circ f \in \text{Free}_{\mathcal{H}}(M, \mathbb{R}^k)$.

\mathcal{H} -free maps

Proof.

The proof is just a direct calc., here we show only the case $k = 1$.
 Let $F = (\chi, \phi) \in \text{Free}(M, \mathbb{R}^2)$ and $f \in \text{Imm}_{\mathcal{H}}(M, \mathbb{R})$. Then

$$\begin{aligned} \det D_{\bar{\zeta}} F(f) &= \begin{vmatrix} L_{\bar{\zeta}}[\chi(f)] & L_{\bar{\zeta}}[\phi(f)] \\ L_{\bar{\zeta}}^2[\chi(f)] & L_{\bar{\zeta}}^2[\phi(f)] \end{vmatrix} = \\ &= \begin{vmatrix} \chi'(f)L_{\bar{\zeta}}f & \phi'(f)L_{\bar{\zeta}}f \\ \chi'(f)L_{\bar{\zeta}}^2f + \chi''(f)[L_{\bar{\zeta}}f]^2 & \phi'(f)L_{\bar{\zeta}}^2f + \phi''(f)[L_{\bar{\zeta}}f]^2 \end{vmatrix} = \\ &= \begin{vmatrix} \chi'(f) & \phi'(f) \\ \chi''(f) & \phi''(f) \end{vmatrix} [L_{\bar{\zeta}}f]^3 \end{aligned}$$



Some classes of distr. \mathcal{H} with \mathcal{H} -free maps in crit. dim.

Lemma (Weiner, 1988)

Let $\mathcal{H} \subset T\mathbb{R}^2$ a Hamiltonian distribution (i.e. $\mathcal{H} = \ker dH$ for some regular function $H : \mathbb{R}^2 \rightarrow \mathbb{R}$) and ξ any section of $\mathcal{H} \rightarrow M$ without zeros. Then there exists a smooth function $f : \mathbb{R}^2 \rightarrow \mathbb{R}$ s.t. $L_\xi f > 0$ (i.e. $\text{Imm}_{\mathcal{H}}(\mathbb{R}^2, \mathbb{R}) \neq \emptyset$).

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Proposition 1

Under the hypothesis above, $\text{Free}_{\mathcal{H}}(\mathbb{R}^2, \mathbb{R}^2) \neq \emptyset$.

\mathcal{H} -free maps of 1-distributions in \mathbb{R}^2 in critical dimension

Example

Consider again $\zeta = 2y\partial_x + (1 - y^2)\partial_y$.

Recall that $L_{\zeta}(ye^x) > 0$ and $\psi(t) = (t, t^2)$, $\hat{\psi}(t) = (\cos t, \sin t)$

belong to $Free(\mathbb{R}, \mathbb{R}^2)$ since $D_2\psi = \begin{pmatrix} 1 & 2x \\ 0 & 2 \end{pmatrix}$ and

$D_2\hat{\psi} = \begin{pmatrix} -\sin t & \cos t \\ -\cos t & -\sin t \end{pmatrix}$. Hence, for example, the maps

$$f(x, y) = (ye^x, y^2e^{2x})$$

and

$$\hat{f}(x, y) = (\cos(ye^x), \sin(ye^x))$$

both belong to $Free_{\mathcal{H}_{\zeta}}(\mathbb{R}^2, \mathbb{R}^2)$.

Note that these are \mathcal{H}_{ζ} -free maps in critical dimension.

Some classes of distr. \mathcal{H} with \mathcal{H} -free maps in crit. dim.

We generalized Weiner's Lemma in three different directions.
In the first we do not require ξ to be Hamiltonian:

Lemma (1. 1-distributions in \mathbb{R}^2)

Let ξ a vector field on \mathbb{R}^2 of finite type (i.e. the set of its separatrices is closed and each leaf is inseparable from just finitely many other leaves) with no zeros.

Then there exists a function $f : \mathbb{R}^2 \rightarrow \mathbb{R}$ s.t. $L_\xi f > 0$ (i.e. $\text{Imm}_{\text{span } \xi}(\mathbb{R}^2, \mathbb{R}) \neq \emptyset$).

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Proposition 2

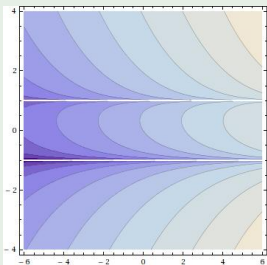
Under the hypotheses above, $\text{Free}_{\text{span}\xi}(\mathbb{R}^2, \mathbb{R}^2) \neq \emptyset$.

Some classes of distr. \mathcal{H} with \mathcal{H} -free maps in crit. dim.

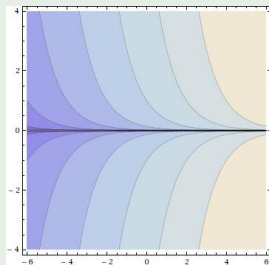
Example

Consider $\zeta = (3y - 1)\partial_x + (1 - y^2)\partial_y$ on \mathbb{R}^2 . This vector field is not Ham. w/resp. to any symplectic structure on \mathbb{R}^2 but it is transversal to the level sets of $f(x, y) = ye^x$.

Hence e.g. $(ye^x, y^2 e^{2x})$ is *span* ζ -free.



Int. Traj. of ζ



Level sets of f

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In the 2nd we realize that a Hamiltonian system on \mathbb{R}^{2n} is a compl. integr. syst. (CIS) and we consider more general CISs:

Lemma (2. Lagrangian n -distr. on symplectic mfd (M^{2n}, Ω))

Let (M^{2n}, Ω) be a symplectic manifold admitting a CIS $\{l_1, \dots, l_n\}$, $\mathcal{H} \subset TM$ the n -dimensional Lagrangian distribution $\mathcal{H} = \bigcap_{i=1}^n \ker dl_i$ and \mathcal{F} the corresponding Lagrangian foliation. Assume that the Hamiltonian vector fields ξ_i associated to the l_i are all complete and that every leaf of \mathcal{F} has no compact component. Then there exist n smooth functions f^i on M s.t. $L_{\xi_i} f^j = 0$, $i \neq j$, and $L_{\xi_i} f^i > 0$ (i.e. $\text{Imm}_{\mathcal{H}}(M, \mathbb{R}^n) \neq \emptyset$).

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Lemma (2. Lagrangian n -distr. on symplectic mfds (M^{2n}, Ω))

Let (M^{2n}, Ω) be a symplectic manifold admitting a CIS $\{I_1, \dots, I_n\}$, $\mathcal{H} \subset TM$ the n -dimensional Lagrangian distribution $\mathcal{H} = \bigcap_{i=1}^n \ker dI_i$ and \mathcal{F} the corresponding Lagrangian foliation. Assume that the Hamiltonian vector fields ξ_i associated to the I_i are all complete and that every leaf of \mathcal{F} has no compact component. Then there exist n smooth functions f^i on M s.t. $L_{\xi_i} f^j = 0$, $i \neq j$, and $L_{\xi_i} f^i > 0$ (i.e. $\text{Imm}_{\mathcal{H}}(M, \mathbb{R}^n) \neq \emptyset$).

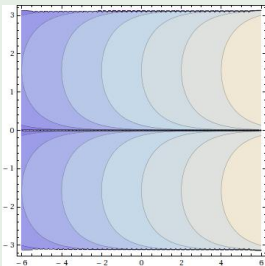
Proposition 3

Under the hypotheses above, $\text{Free}_{\mathcal{H}}(M, \mathbb{R}^{2n}) \neq \emptyset$.

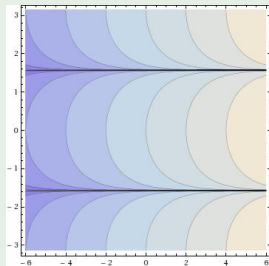
Some classes of distr. \mathcal{H} with \mathcal{H} -free maps in crit. dim.

Example

Consider the CIS $\{I\}$ on $\mathbb{R} \times \mathbb{S}^1$ with $I(z, \phi) = \sin \phi e^z$. The corresponding Hamiltonian vector field w/resp to $\Omega = dz \wedge d\phi$ is $\zeta = e^z (\cos \phi \partial_z - \sin \phi \partial_\phi)$. The level sets of I are non-compact so we know there exists f s.t. $L_\zeta f > 0$. E.g. $L_\zeta (\cos \phi e^z) = e^{2z} > 0$. Hence the map $(\cos \phi e^z, \cos^2 \phi e^{2z})$ is *span* ζ -free.



Int. Traj. of ζ



Level sets of f

Some classes of distr. \mathcal{H} with \mathcal{H} -free maps in crit. dim.

In the 3rd we think a Ham. system on \mathbb{R}^2 as a Poisson-Riemann system (PRS) and consider PRSs of higher dimension:

Theorem (3. Riemann-Poisson bracket)

Let M be an n -dim. oriented Riemannian mfd,
 $H = \{h_1, \dots, h_{n-2}\}$ a set of $n - 2$ functions funct. ind. at every point and $\{f, g\}_H = *[dh_1 \wedge \dots \wedge dh_{n-2} \wedge df \wedge dg]$
 the corresponding Riemann-Poisson bracket. Then, if $h \in C^\infty(M)$ is funct. ind. from all the h_i and $\mathcal{H} = \text{span}\{\xi_h\}$ for $\xi_h(f) = \{h, f\}_H$, there exists a (possibly multivalued) smooth function $F : M \rightarrow \mathbb{R}$ such that $L_{\xi_h} f > 0$ (i.e. $\text{Imm}_{\mathcal{H}}(M, \mathbb{R}) \neq \emptyset$).

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Proposition 4

Under the hyp. above and if f is single-val., $\text{Free}_{\mathcal{H}}(M, \mathbb{R}^2) \neq \emptyset$.

Open Problems

- 1 h-principle for \mathcal{H} -immersions and \mathcal{H} -free maps: what can be said in general for the existence of \mathcal{H} -immersions and \mathcal{H} -free maps in the intermediate range? (i.e. when q is not big enough for genericity but not small enough to rule out their existence)

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- 4 Study what happens for other structures on \mathcal{H} (symplectic, contact, connections and so on).

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